

**Neev Finance**

*Investing beyond the ordinary*



# TOKYO MULTI-ASSET DYNAMIC FUND

## INVESTMENT BROCHURE

2024



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## TABLE OF CONTENT

• Introduction	1
About Neev	1
Tokyo Fund	1
• Investment Philosophy	2
• Investment Process	4
Assets type	4
Three-stage investment approach	5
Historical data-points	7
Process summary	8
• Risk Management	9
• Why Invest?	11
• Team	12



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## INTRODUCTION

### About Neev

**Neev Finance** is a visionary investment firm committed to providing investors with the tools and opportunities to achieve long-term financial growth. In just over a year in the early-stage market, we've successfully handled over **\$4 million** in deposits, primarily through our fixed-income products in the DeFi space. As an emerging leader in the investment industry, Neev Finance offers a distinctive platform that allows clients to diversify their portfolios across multiple asset classes and financial ecosystems, seamlessly blending decentralised finance (DeFi) with traditional finance (TradFi).

Neev offers ranges of investment products in asset management such as fixed income cash management, Safe financial instruments and FDIC insured saving accounts for US based accredited investors( according to 501(a) of Reg D), qualified purchasers (Section 2(a)(51)), institutional investors and also off-shore investments. Neev Finance is excited to introduce our latest investment fund, Neev Tokyo multi-asset dynamic fund, designed to harness the power of both digital and traditional assets.

### Neev Tokyo Multi-Asset Dynamic Fund ( TMADF)

Neev introduces Neev Tokyo multi-asset dynamic fund which is tailored for sophisticated investors

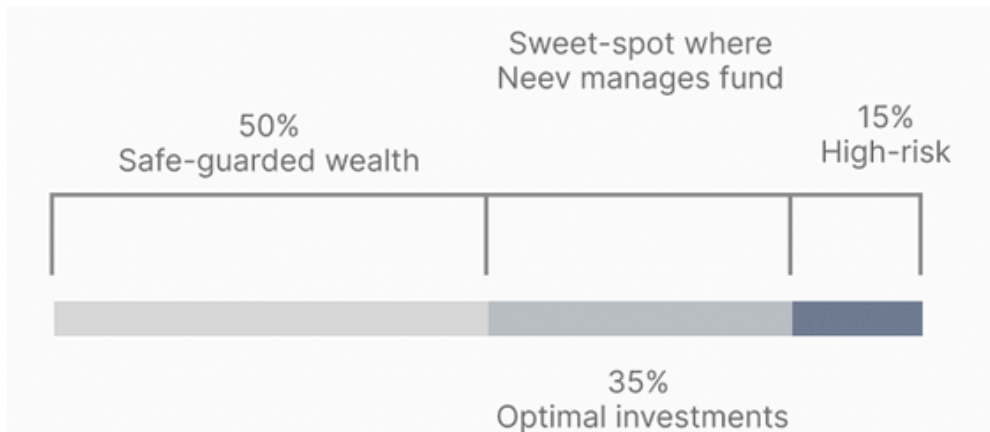
- Seeking long-term capital appreciation with a focus on risk-adjusted returns.
- Looking to diversify your portfolio and benefit from our active management expertise across multiple asset classes, including cryptocurrencies, equities, and cash equivalents,
- Looking for the most optimal Sharpe ratio, with above-market returns while maintaining a prudent risk profile.

Our mission is to become your trusted partner in wealth management, providing innovative solutions that not only aim to beat market benchmarks but also focus on your overall financial well-being, especially when the market is down. We believe in the power of compounding growth, and our strategies are meticulously designed to maximise returns while carefully managing risk



## INVESTMENT PHILOSOPHY

For Neev's NTMADF, our investment philosophy is built on two key principles: Discipline and Patience. We believe that long-term wealth is not achieved through non-repeatable short-term gains, which often carry high risks. Instead, we focus on preserving capital and making strategic, sustainable investments that withstand market volatility.



Your hard-earned money deserves protection from the risks of high-volatility assets. Our approach emphasises sustainable growth through careful asset selection, disciplined entry points, and dynamic risk management. We seek out investments with real value and long-term potential while maintaining a strong commitment to risk mitigation.

### Capital Preservation:



We focus on safeguarding your capital by limiting exposure to high-risk assets. Our strategy balances growth opportunities with a strong emphasis on safety.

### Sustainable Investments:



We choose assets with solid fundamentals that offer steady, long-term growth. Speculative investments are avoided in favour of sustainable, reliable opportunities.



### **Disciplined Execution:**

Investment decisions are based on rigorous analysis and careful timing, so is the fund rebalance. We stick to a well-defined strategy, which rarely changes unless a black swan event.



### **Dynamic Risk Management:**

Our portfolio is actively managed, switching between cash equivalents and volatile assets as needed. Risk management is a continuous process, ensuring resilience against market downturns.



### **Long-Term Focus:**

We invest with a future-oriented perspective, focusing on assets that will grow over time.



## INVESTMENT PROCESS

### Assets type:

At Neev, our investment strategy is grounded in a satellite-core approach, which is designed to optimise returns while managing risk effectively. We recognize that accredited and institutional investors often prioritise a balanced portfolio, distributing their investments across safe, high-beta, and steady-compounding assets. Our fund mirrors this approach, focusing on achieving the best possible Sharpe ratio—maximising returns for the risk undertaken.

Our portfolio is constructed with three primary asset components:

#### 1. Cash and Cash Equivalents:

This includes traditional cash assets, close-to-cash equivalents, and yield-generating stablecoins. This portion of the portfolio provides stability and liquidity, acting as a buffer against market volatility.

#### 2. Digital Currencies: We

selectively invest in digital currencies with strong long-term and short-term growth potential. Our focus remains on blue-chip cryptocurrencies that have demonstrated resilience and significant upside.

#### 3. Traditional Finance (TradFi):

This segment includes stocks and ETFs, chosen based on rigorous research and analysis. Our goal is to identify assets that offer steady compounding returns, outperforming market benchmarks with lower beta.

#### Instruments



Tbills



Stablecoins



Bluechips

Cryptocurrencies



Equity Market

-Stocks, ETFs



## Three-Stage Investment Approach

### 1. Pre-Investment:

- **Volatile Assets:** We conduct thorough fundamental analysis to identify the best assets for both long-term and short-term growth. We apply distinct frameworks for evaluating cryptocurrencies and stocks, focusing on blue-chip assets. Our process involves filtering and ranking a basket of **5-10 assets** within each class based on various performance factors. Under no circumstances more than **75%** of the fund will be allocated to volatile assets.
  - In usual Volatile assets at the beginning of the fund we will follow **two classes of volatile assets** in both digital currency and equity market
  - **In digital currency:**
  - **Market dominators:** Holds majority of the market cap and also will hold significant portions within our volatile section - estimated assets: BTC, ETH
  - **Catalyst Altcoins:** Which has full potential to gain significantly in future and probably to go through major rebalancing within the fund for optimal high returns: Estimated assets: SOL, LDO, AAVE, BCH, ONDO
  - **In the Equity market:**
  - **Index Equities:** A portion of the volatile stocks will be invested in S&P 500
  - **Bluechip stocks:** Vast investments will be kept under bluechip stocks in the US market upon very detailed fundamental analysis - to be declared
  - **Bluechip ETFs:** A small portion would be invested in long-term ETFs majorly in commodities and energy sector-specific.
  - **Cash and Equivalents:** We scout for the best opportunities in cash equivalents, such as T-bills or lending rates from reputable entities. In the digital asset space, we leverage blockchain protocols to lend assets, aiming to generate additional alpha while carefully managing counterparty risk. Check out the detailed report of stablecoin lending : <https://tinyurl.com/24pndrsx>
  - Within stablecoin lending, there would be **7+ stablecoins, 5+ blockchain networks and 15+ blockchain protocols** will be involved
  - In other cash equivalents short term T-bills would be involved



## 2. Investment:

- **Timing and Allocation:** We adopt a patient approach, waiting for optimal entry points before allocating funds to volatile assets. Until these opportunities arise, the portfolio remains heavily weighted towards cash and equivalents. Once an entry point is identified, we strategically allocate capital based on the potential of each asset, ensuring diversification across multiple avenues.
- Major technical signals and entry points along with statistical analysis of business or project performance,
  - a. **Technical indicators:** Support & resistance, Fibonacci retracement, oscillators.
  - b. **Predictive analysis:** Predictive random path simulations, State predictive algorithms
- **Weighting:** Investments in volatile assets are weighted according to their potential, as determined by our ranking system. Similarly, allocations to cash equivalents are adjusted based on the associated counterparty risks.

## 3. During Investments:

- **Active Monitoring:** All assets within the portfolio are continuously monitored to detect and respond to any unusual market events. Our investments are executed through reputable brokers and custodians to ensure security and reliability.
- **Quantitative Adjustments:** We employ quant algorithms and ongoing market research to make two types of active adjustments:
  - **Market Rebalancing:** Based on algorithmic signals to respond to market movements.
  - **Monthly Rebalancing:** Regular adjustments to the portfolio to hedge against emerging risks and maintain optimal asset allocation.





## HISTORICAL DATA-POINTS

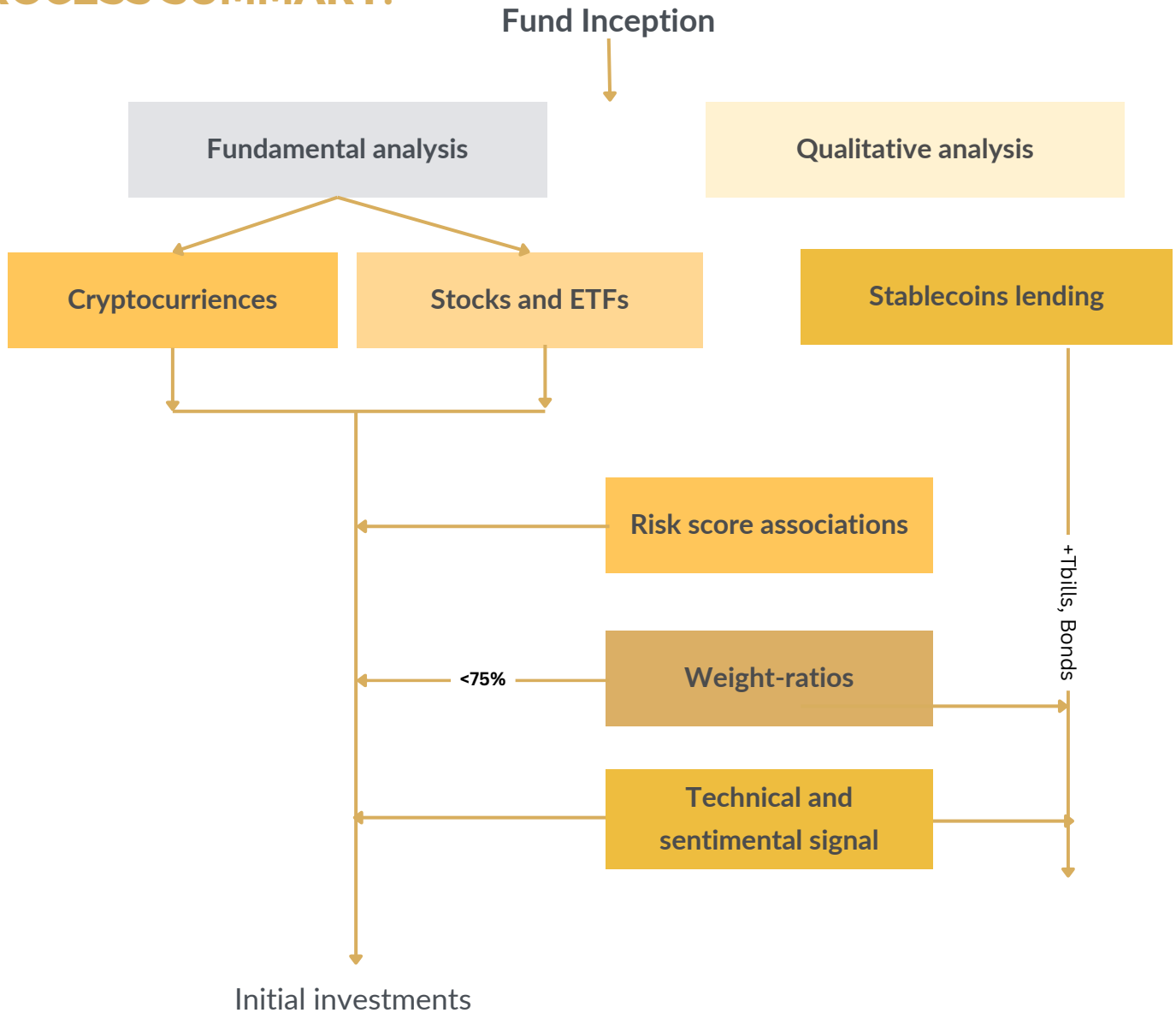
Here's an overview of historical returns of major assets of our funds. Risk score is calculated quant factor which depends on Beta of each assets and investment procedure.

SnP 500	Avg. yearly return since 2014 12.83%	Risk Score ( less is safer, more is risky) 5/10
Bitcoin	Avg. yearly return since 2019 307.01%	Risk Score ( less is safer, more is risky) 7/10
Ethereum	Avg. yearly return since 2019 334.3%	Risk Score ( less is safer, more is risky) 7/10
Solana	Avg. yearly return since 2021 May 158.5%	Risk Score ( less is safer, more is risky) 8/10
Lido Dao	Avg. yearly return since 2022 July -9.87%	Risk Score ( less is safer, more is risky) 8/10
Bitcoin cash	Avg. yearly return since 2019 34.38%	Risk Score ( less is safer, more is risky) 8/10
Stablecoin lending	Avg. yearly return since 2023 12.81%	Risk Score ( less is safer, more is risky) 2.5/10

- Most of the returns data are collected from a 3rd party source
- Year is considered when a proper stability has been noted in the project
- Returns are calculated without any compounding or any other complexities involved, till 8th aug 2024



## PROCESS SUMMARY:





## RISK MANAGEMENT

As our fund is exposed to the assets of the different asset classes and different natures, which draws several specific risks attached to each of them.

### T-bills, Bonds:

**Counterparty Risk:** Though in most cases, this secured form of money is secured through Fed security, it might be possible for a temporary period, the issuing partner might go bankrupt or any unusual event, ending up losing the T-bills contracts.

### Strategy:

- We keep custody of our T-bills with more than one authorities

**Interest rate risk:** If interest rates rise, the return on existing T-bills may be lower than the market.

### Strategy:

- We intend to buy short-term T-bills and renew them, to avoid interest rate fluctuations

### Volatile assets:

**Business Risk:** Business risks refer to the reflection of a company's or project's work performance into the price of the underlying public asset. In the case of securities, the risk associated with the company's performance varies directly with the security price and in the case of non-securities, the project function and performance non-directly affect the price.

### Strategy:

- We have a robust fundamental analysis framework which can cater to the business risk

**Volatility Risk:** Risk of an adverse change in price due to changes in the volatility of a factor that affects that price. It's usually associated with derivative instruments and their portfolios

### Strategy:

- We only invest in high market cap and relatively low Beta assets,
- Rebalancing is an effective approach to switch between optimal beta and Sharpe ratio assets

**Market Risk:** Market risk is another high-level risk associated with crypto and stocks where there are losses associated because of overall financial market drawdown and other macroeconomic factors.

### Strategy:

- Dealing with two different markets always gives us an upper edge in balancing the market risk.

**Counterparty Risk:** Counterparty risk in stocks and crypto is introduced if, under unusual circumstances, the 3rd party brokers lose the custody of the shares and tokens.

### Strategy:

- All our brokers are registered with the SEC and insured with SIPC.
- Also, we will be maintaining a treasury fund to contribute to any potential losses.



## **Stablecoins lending:**

**Depeg Risk:** Though stablecoins are pegged with a dollar value, these might lose the direct equivalency with the dollar because of multiple black-swan events at their respective ends.

### **Strategy:**

- Most of our stablecoins are in Fiat-backed currencies Diversified into more than 7 stablecoins Continuous monitoring of their reserve of assets

**Potential Hacking Risk:** DeFi protocols are essentially software programs and smart contracts running on blockchain networks. Hackers can exploit vulnerabilities in the protocol, smart contract, or underlying blockchain to steal assets or disrupt services. This risk can potentially lead to massive losses and destabilization of the entire DeFi platform.

### **Strategy:**

- All of our investments are in 24 x7 monitored
- All our invested protocols are continuously monitored and automated liquidation against hacking threats

**Concentration Risk:** This pertains to over-reliance on a single protocol, asset, or network. Concentration risk increases the impact of any adverse event affecting that particular element. For example, if most assets are in a single protocol and it fails, the loss will be significant.

### **Strategy:**

- Our investments are diversified in more than 5+ networks and 15+ protocols with restricted exposure to each

**Counterparty Risk:** In traditional finance, this risk involves one party in a contract failing to uphold their obligations, leading to financial loss for the other parties involved. In DeFi, counterparty risk also exists in the form of unaudited protocols, rug pulls, or scams. Despite the decentralization, trusting a protocol or smart contract to behave as expected is still an inherent risk.

### **Strategy:**

- Few of our investments will be insured against the protocols Follow a robust pre-investment nature for all of them, including reading each audit reports



## WHY INVEST IN TMADF?

Investing in Neev's Tokyo fund offers a unique blend of stability, innovation, and strategic growth. Here's why our fund stands out:

### 1. Superior Alpha Generation through Stablecoin Lending:

- We maximize returns on cash equivalents by leveraging stablecoin lending opportunities in the decentralized finance (DeFi) space.
- Our approach ensures that even the most conservative part of your portfolio works actively to generate strong, risk-adjusted returns.

### 2. Diversified Exposure Across Two Markets:

- Our fund operates in both the cryptocurrency and traditional stock markets, offering a balanced portfolio that benefits from the strengths of each.
- This dual-market strategy provides diversification, helping to mitigate risk and enhance overall portfolio performance.

### 3. Technologically Advanced Team:

- Our team excels in quantitative analysis and technological integration, giving us a competitive edge in managing and optimizing the fund.
- We utilize cutting-edge technology and data-driven strategies to identify opportunities, manage risks, and execute trades with precision.

### 4. Focused on Sharpe Ratio, Not Just Alpha:

- Unlike funds that chase high alpha without considering the risks, we prioritize the Sharpe ratio, ensuring that returns are achieved with minimal risk.
- Our disciplined approach seeks optimal returns by balancing risk and reward, making sure that every investment decision contributes to long-term stability and growth.

### 5. Open market hours:

- A portion of fund is 24x7 within tradable hours, which is easier to hedge against the sudden risk factors.
- In future, we're planning to invest through tokenised real-world assets which will provide liquidity and more open tradable hours for all the assets



## INVESTMENT TEAM



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The team is composed of seasoned professionals with diverse expertise in **Finance, Blockchain, Cryptocurrency**, and Artificial Intelligence. The members have a storied history of managing funds at leading financial institutions such as **Morgan Stanley, RBS, and UBS**. On the educational front, the team boasts alumni from prestigious universities, including MIT, Iowa State University, Indian Institute of Technology Bombay, and Indian Institute of Technology Madras. This blend of expertise and experience places the team in a strong position to navigate the complexities of the investment landscape. The team together has already managed multi-million dollars successfully.

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fund”*

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